Dkt. 6879/71806

E UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Steven Charles MATHEWS

Serial No.

10/816,145

Examiner:

Filed

April 1, 2004

Group Art Unit: 3762

1185 Avenue of the Americas

New York, N.Y. 10036

(212) 278-0400

For

WARNING DEVICE FOR PREVENTION OF DEEP VEIN

**THROMBOSIS** 

I hereby certify that this correspondence is being transmitted by facsimile transmission this date and is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to the applicant's duty of disclosure under 37 C.F.R. §1.56 and §1.97, the information listed in the attached Form PTO-1449 is brought to the attention of the Examiner. Copies of the information identified therein are also provided.

It is respectfully requested that the information cited in annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped,

08/04/2005 HGUTEMA1 00000040 033125 10816145

01 FC:1806

180.00 DA

Steven Charles MATHEWS

Serial No.: 10/816,145

Filed: April 1, 2004

Page 2

however, that in addition to apprising the Examiner of the particular items, they will assist in

identifying fields of search and in making as full and complete a search as possible.

The filing of this Information Disclosure Statement is not an admission that the

information cited herein is, or is considered to be, material to patentability as defined in 37

C.F.R. §1.56(b).

A first Office Action has not been received in connection with the subject application.

Accordingly, pursuant to 37 C.F.R. §1.97(b)(3), Applicants believes that the present Information

Disclosure Statement is timely filed and no fee or certification is due or required.

However, in the event that a first Office Action has been mailed but has not yet been

received by Applicant or connected with the application file in the undersigned attorney's office,

Applicant hereby requests pursuant to 37 C.F.R. §1.97(c)(2), consideration of this Information

Disclosure Statement, and authorization is hereby given to charge Deposit Account No. 03-03125

ONE HUNDRED AND EIGHTY DOLLARS (\$180.00), which is the amount of the fee under 37

C.F.R. §1.17(p) for filing an Information Disclosure Statement pursuant to 37 C.F.R. §1.97(c)(2).

The Office is hereby authorized to charge any additional fees which may be required for

consideration of this Information Disclosure Statement and to credit any overpayment to our

Deposit Account No. 03-3125.

Steven Charles MATHEWS Serial No.: 10/816,145

Filed: April 1, 2004

Page 3

Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

Robert T. Malkona S Robert T. Maldonado, Reg. No. 38,232

Attorney for Applicant Cooper & Dunham, LLP 1185 Avenue of the Americas New York, New York 19036 (212) 278-0400

Form PT			-		4	2/p			partment of and Tradem	Atty. Docke 6789/7180		Serial No. 10/816,145				
INFORM	A	ON	l DI	ISC	os Lø	S SU	Applicant: Steven Charles MATHEWS									
(Use several shoets if notessary)											Filing Date: April 1, 2004		Group Art Unit: 3762			
U.S. PATE	NT De	OCI	UMI	EN1	rs											
Examiner Initial					mbei	r 		Dat	te	Name	Class	Subclass	Filing Date if Appropriate			
	6	6	1	5	0	8	0	Se	pt. 2, 2003	Unsworth et al.			-			
	6	2	9	6	6	1	7	Ос	et. 2, 2001	Peeler et al.						
	6	2	9	0	6	6	2	Se	pt. 18, 2001	Morris et al.						
	6	2	8	2	4	4	8	Au	ıg. 28, 2001	Katz et al.						
	6	2	2	6	5	5	2	Ma	ay 1, 2001	Staunton et al.	1					
	6	0	0	2	9	6	5	De	c. 14, 1999	Katz et al.	1					
	5	9	9	1	6	5	4	No	ov. 23, 1999	Tumey et al.	+ +		<del>                                     </del>			
	5	9	5	1	5	0	2	Se	pt. 14, 1999	Peeler et al.			1			
	5	7	8	2	8	9	3	Jul	ly 21, 1998	Dennis III	1 1		+			
	5	6	4	3	3	3	1	Jul	ly 1, 1997	Katz	1		1			
	5	5	7	5	7	6	2	No	ov. 19, 1996	Peeler et al.	+ +					
	5	5	5	6	4	2	2	Se	pt. 17, 1996	Powell, III et al.	+ +					
FOREIG	N PA	TF	ENT	 Γ <b>D</b> ,	OC	UN	IEI			· · · · · · · · · · · · · · · · · · ·						
	Document Number								Date	Country	Class	Subclass	Translation			
													Yes No			
OTHER	DOC	UN	1EI	TY!	<u>.                                    </u>	nel	udi	ng /	Author, Tit	le, Date, Pertine	nt Pages. l	Etc.)				
		<u> </u>			3 (2)			<u> 6 -</u>	140101, 110	ic, Ducc, I el elle.	at Luges, I	<u> </u>				
	$\vdash$									<del> </del>						
	+	—		—												
	$\perp$															
	+						—									
EXAMINER								DA	DATE CONSIDERED							
										cion is in conformance			e through cit	ation if not		